

ORDER NUMBER 76

IN THE MATTER OF THE *SECURITIES ACT* ("the Act")
R.S.N.L. 1990 CHAPTER S-13, AS AMENDED

AND

REVOCATION OF CERTAIN REGISTRATION-RELATED ORDERS

ORDER
(144.(1) of the Act)


Background

1. As a result of amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* ("NI 31-103"), which came into force on July 11, 2011, the subject matter or substantive effect of each of the following orders is now subsumed in NI 31-103:
2. Blanket Order Number 59, Exemption from Sections 3.6, 3.10 and 3.14 of National Instrument 31-103 Registration Requirements and Exemptions for Chief Compliance Officers of Portfolio Managers Adding a Category;
3. Blanket Order Number 60, Exemption from Sections 3.5 and 3.9 of National Instrument 31-103 Registration Requirements and Exemptions for Portfolio Managers Adding a Category;
4. Blanket Order Number 62, Exemption from Section 14.5 of National Instrument 31-103 Registration Requirements and Exemptions for Canadian Firms;
5. Order Number 67, Relief From The Requirement Under Paragraph 13.2(2)(b) of NI 31-103 To Establish Whether a Client is an Insider; and
6. Order Number 68, Relief From The Requirement Under Subparagraph 13.2(3)(b)(i) of NI 31-103 For Mutual Fund Dealers.

Order

7. Considering that it would not be prejudicial to the public interest to do so, it is ordered, pursuant to Section 144.(1) of the *Act*, that the orders identified above are revoked with effect on September 16, 2011.

Dated at St. John's, Newfoundland and Labrador this 16th day of September, 2011.



Douglas Connolly, C.G.A.
Superintendent of Securities